

# Good Agricultural Practices



**Jim Gorny, Ph.D.**  
**Executive Director**

**POSTHARVEST TECHNOLOGY**  
Research & Information Center

# Spinach Crisis 2006

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**Thursday September 14, 2006**

## **FDA Warning on Serious Foodborne *E.coli* O157:H7 Outbreak**

“FDA advises that consumers not eat bagged fresh spinach at this time.”  
50 illness, 8 HUS, 1 Death, 8 States

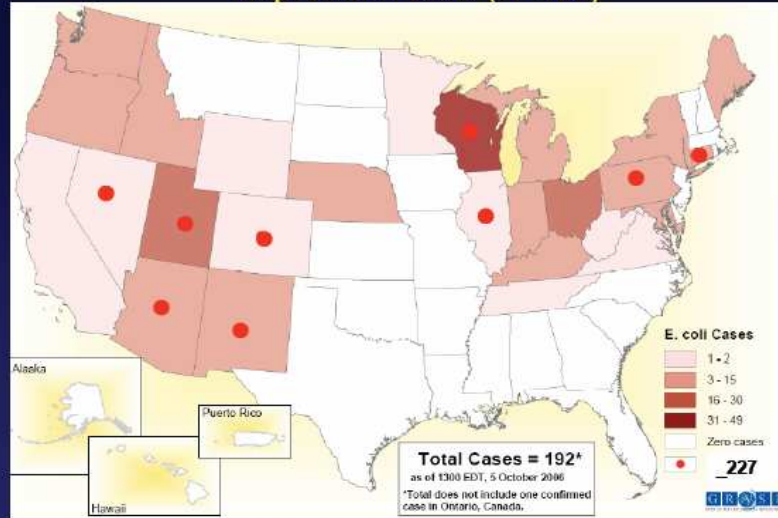
- ❖ **All retail and food service product pulled from shelves.**
- ❖ **Distribution chain stopped in motion.**
- ❖ **Harvesting / processing stopped.**
- ❖ **Planting decisions on hold.**
- ❖ **An entire industry shut down.**

Kyle Allgood  
Jane Dunning  
Marion Graff



# Anatomy of an Outbreak

Cases of *E. coli* O157:H7 by state and states where lot code \_227 reported by patients, United States, August-September 2006 (N=192)



All data are preliminary

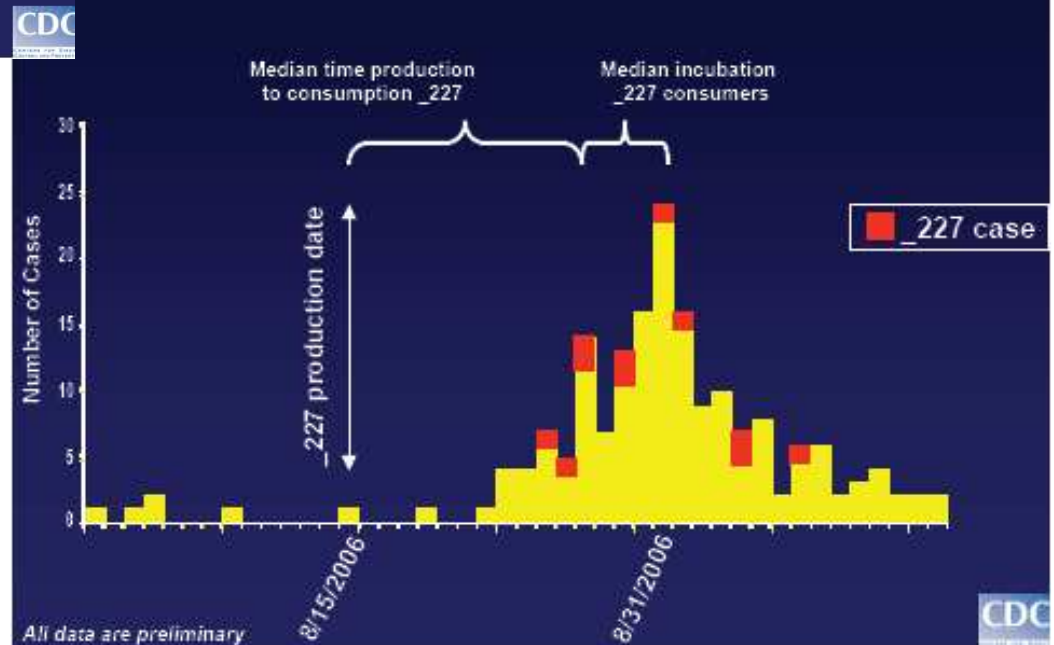
**204 Illnesses**

**31 HUS**

**104 Hospitalizations**

**3 Deaths**

Number of cases of *E. coli* O157:H7 by date of illness onset, United States, August-September 2006 (N=181)\*



All data are preliminary

**26 States**

**5 Recalls**

**11 Bags from**

**Lot 227 test +**

# Defining Safety

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**The goal of all food safety programs is:  
zero illnesses.**

**Food safety programs identify and manage  
risks (i.e. potential hazards).**

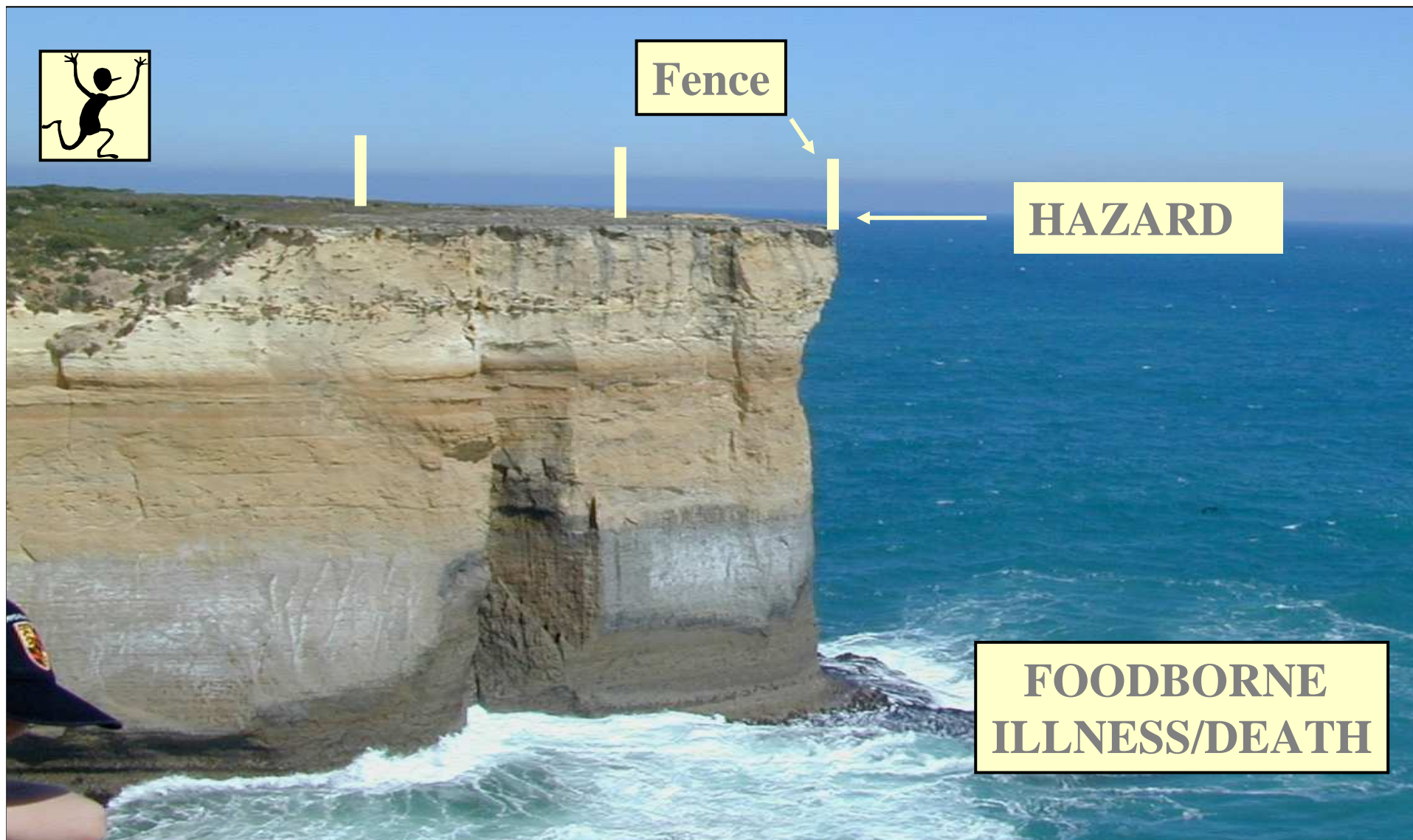
**Produce Food Safety = Prevention**

**SAFE**



**UNSAFE**

# Food Safety Programs Control, Reduce or Eliminate Hazards



# Practices, Possibilities and Controls

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## Practices

- ❖ Important to understand current practices.
- ❖ Practices are rapidly and ever changing.
- ❖ Many variations.

## Possibilities

- ❖ Practices determine the risk profile.

## Controls

Problem solving is needed.

- ❖ Problem definition (identify need)
- ❖ Constraints,
- ❖ Alternative Solutions,
- ❖ Analysis,
- ❖ Decision,
- ❖ Iterate



# The Lettuce & Leafy Greens Category

Iceberg Lettuce

Romaine Lettuce

Green Leaf Lettuce

Red Leaf Lettuce

Butter Lettuce

Baby Leaf Lettuce (i.e., immature lettuce or leafy greens)

Escarole

Endive

Spring Mix

Spinach

Cabbage

Kale

Arugula

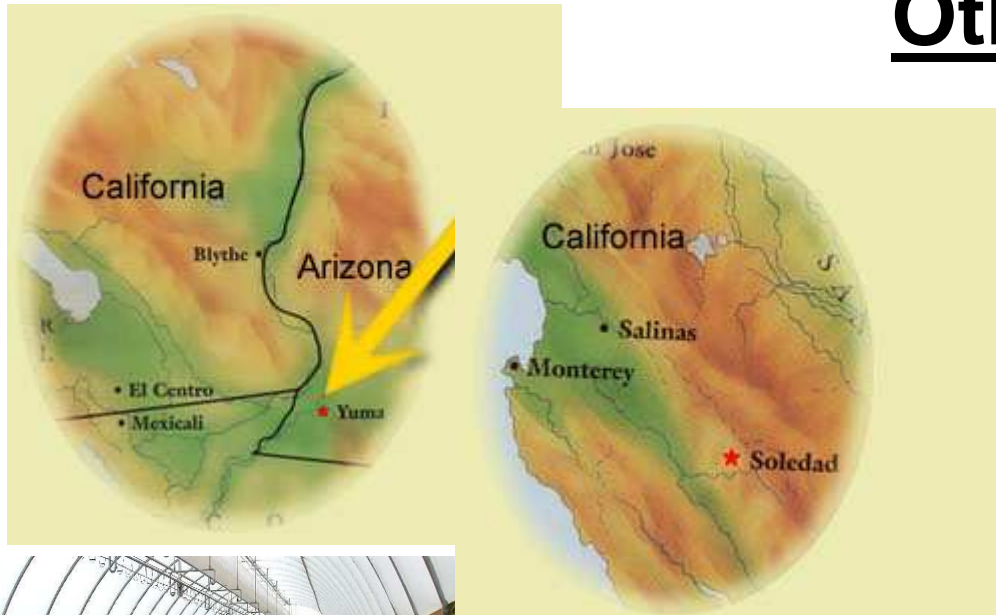
Chard



# The Lettuce & Leafy Greens Category

- ❖ CA and AZ account for 94% of U.S. lettuce acreage head (196k acres), leaf (41k acres) and romaine (29k acres)

Source: USDA NASS



## Other Growing Regions

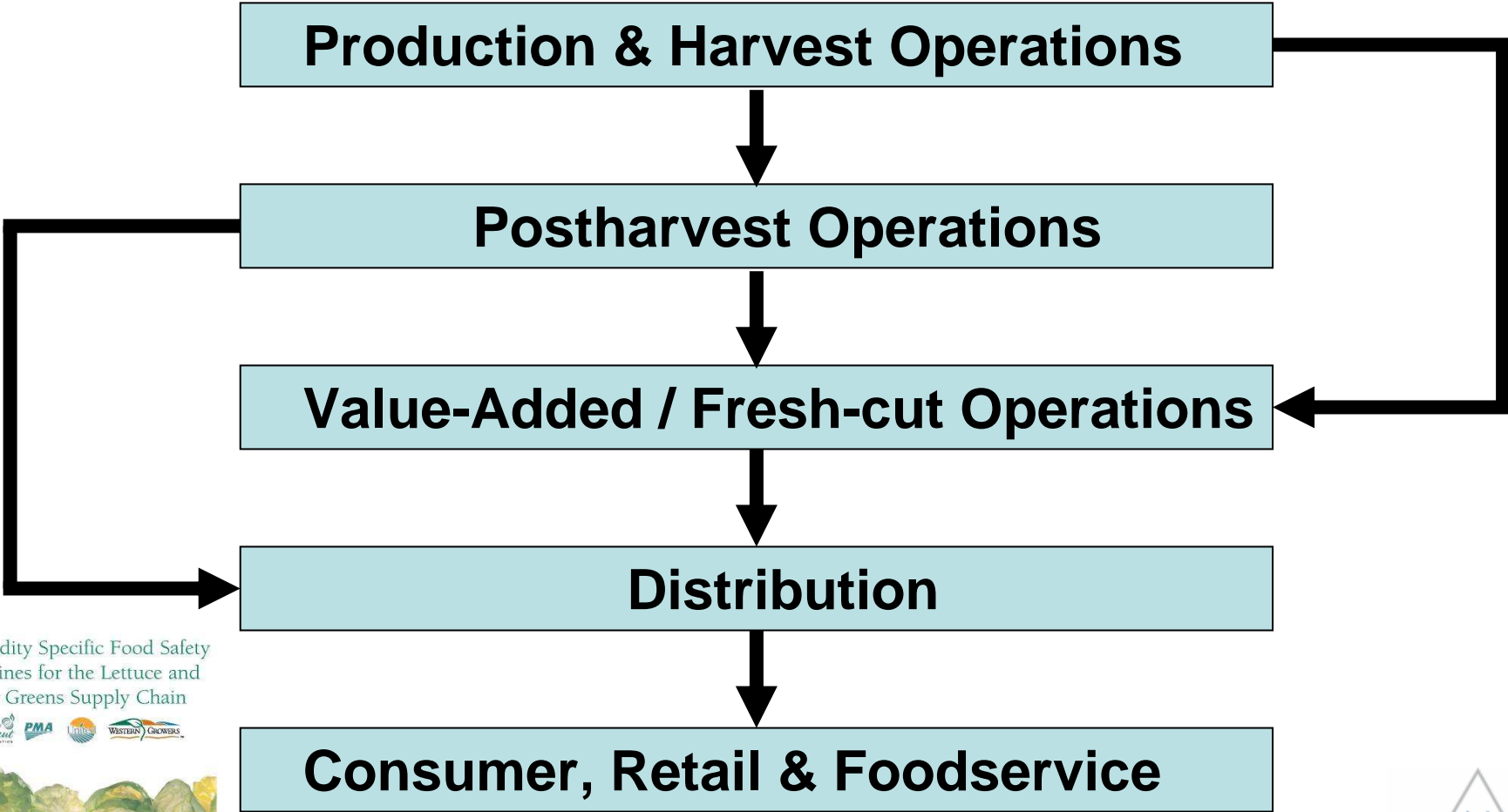
CO, FL, NY, NJ, OH  
Mexico, Canada  
& Other Regions



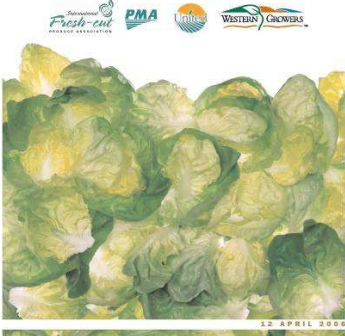
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# Lettuce & Leafy Greens Production & Handling



Commodity Specific Food Safety  
Guidelines for the Lettuce and  
Leafy Greens Supply Chain



# Produce Food Safety

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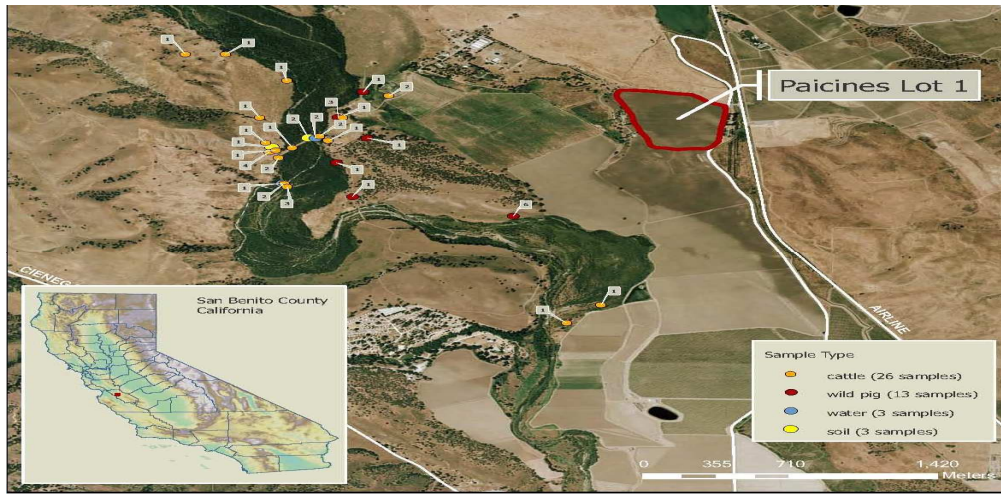
## Practices Possibilities Controls

1. What are the significant human pathogen reservoirs.
2. What are the vectors of human pathogen transfer to LLG.
3. Environmental affects on survival or growth of human pathogens.
4. Survival and growth requirements for human pathogens on LLG.
5. Effective microbial sampling schemes and interventions.



# Production & Harvest

Practices: Site Selection & Adjacent Land Use



# Production & Harvest

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Possibilities: Site Selection & Adjacent Land Use

## Site Selection

- ❖ Range Land
- ❖ Crop Production  
Land that has  
been Grazed
- ❖ Flooded Ground

## Adjacent Land

- ❖ Forest
- ❖ Riparian Environment
- ❖ Farm Land
- ❖ Urban Interface
- ❖ Composting Operations
- ❖ Sewage Treatment Facilities

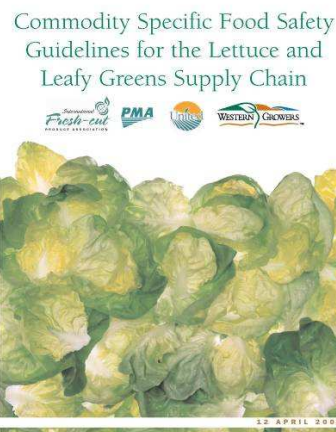
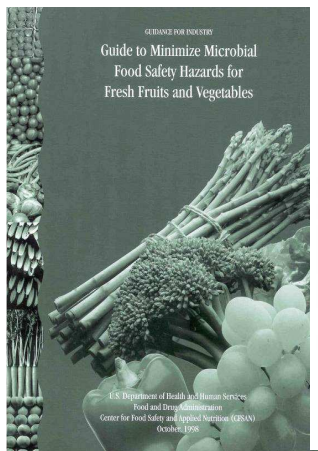
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# Production & Harvest

Controls: Site Selection Flooded Ground

- ❖ **Harvest Distance From Flooding**
- ❖ **Verification**
- ❖ **Formerly Flooded Ground**
  - Production Practices To Reduce Risks
  - Time Interval
  - Micro Testing to Validate Process



COMMODITY SPECIFIC FOOD SAFETY GUIDELINES FOR THE PRODUCTION AND HARVEST OF LETTUCE AND LEAFY GREENS



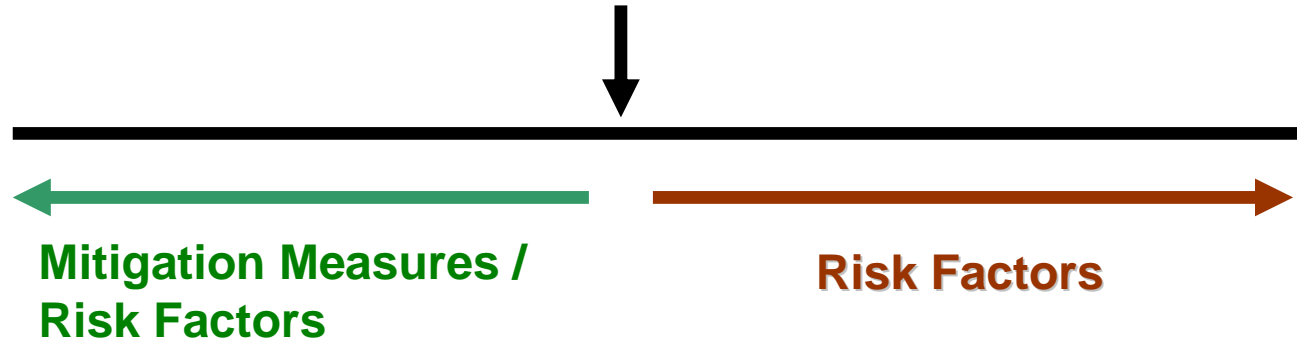
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# Production & Harvest

Controls: Adjacent Land Use

## Proximate Safe Distance

Potential  
Contamination  
Source



- ❖ Composting Operations
- ❖ CAFO's
- ❖ Compost On Adjacent Farms
- ❖ Grazing Lands
- ❖ Leach Fields
- ❖ Fallow Areas (Woods, etc.)



# Production & Harvest

Practices: Site Preparation



# Production & Harvest

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Possibilities: Site Preparation

- ❖ Field-to-field farm machinery pathogen transference?
- ❖ Variables affecting effective treatment of animal manure containing soil amendments?
- ❖ Water of appropriate microbial quality for emergence and soil preparation?

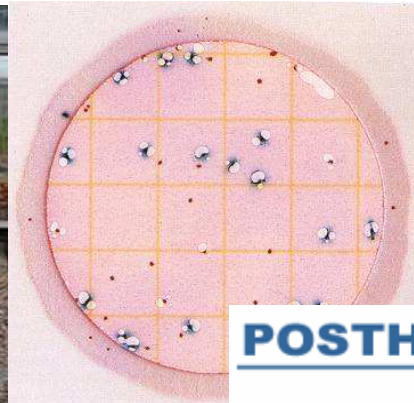
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# Production & Harvest

Controls: Site Preparation – Soil Amendments

- ❖ Physical, Chemical or Biological Treatment
- ❖ Output Micro Testing to Verify Process
- ❖ Application Interval



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# Production & Harvest

Practices: Production



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# Production & Harvest

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Practices: Production



## WATER



# Production & Harvest

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Possibilities: Production

- ❖ Water of appropriate microbial quality for irrigation?
- ❖ What factors effect pathogen persistence and growth in irrigation water?
- ❖ How effectively are pathogens transferred by water uses?
- ❖ Does the irrigation method used alter pathogen transference risk?
- ❖ If pathogens are transferred to LLG what environmental factors and production practice effect survival and growth of human pathogens?
- ❖ Root uptake? Contaminated seed?



What are the significant human pathogen reservoirs.  
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# Production & Harvest

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Controls: Production - Water

- ❖ Microbial Action Levels Based on Safe and Sanitary Intended Use
- ❖ Testing Frequency Based on Source Variability
- ❖ Microbial Indicators Used To Measure System Performance (generic *E. coli*)
- ❖ Decision Tree Based Actions



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# Production & Harvest

Controls: Production - Water

- ❖ **PREHARVEST Foliar Applications**  
Edible Portions of the Crop ARE Contacted by Water (e.g. overhead sprinkler irrigation, pesticides/fungicide application, etc.)  
geo mean < 126 CFU/100ml single sample < 235 CFU/100ml
- ❖ **PREHARVEST Non-foliar Applications**  
Edible Portions of the Crop are NOT contacted by Water (e.g., furrow or drip irrigation, dust abatement water)  
geo mean < 126 CFU/100ml single sample < 576 CFU/100ml
- ❖ **POSTHARVEST Direct Product Contact or Food Contact Surfaces** (e.g. re-hydration, core in field, harvest equipment cleaning, bin cleaning, product cooling, product washing) < 2 MPN/100ml



# Production & Harvest

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Controls: Production - Water

- ❖ **Stop Using the Water Source**
- ❖ **Perform a Sanitary Survey**
- ❖ **Take Corrective Actions**
- ❖ **If Water Has Been Used for Crop Production**  
test produce for pathogens (*E.coli* O157:H7, *Salmonella*)



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# Sampling Error – False Negatives

**Table 1. Probability of accepting a defective lot with indicated proportion of defective sample units**

% Defective	Number of Sample Units			
	15	30	60	100
0.1	0.99	0.97	0.94	0.90
0.5	0.93	0.86	0.74	0.61
1	0.86	0.74	0.55	0.37
2	0.74	0.55	0.30	0.13
5	0.46	0.21	0.05	0.01

Adapted From: Microorganisms in Foods 7 - Microbiological Testing in Food Safety Management, 2002 International Commission on Microbiological Specifications for Foods (ICMSF) Kluwer Academic / Plenum Publishers NY, NY

## Example 1

Defect Level: 0.5%

Samples Units Tested: 30

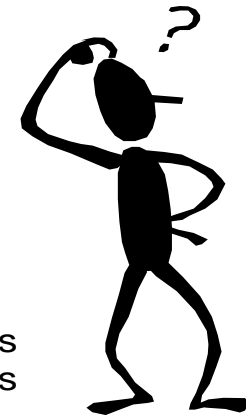
Analysis: 86% probability that all 30 samples will be found negative and the lot will be accepted.

## Example 2

Defect Level: 0.7%

Number of samples required to detect the defect with 95% probability: 428 sample units

Number of samples required to detect the defect with 90% probability: 329 sample units



# Sampling Error - False Positives & Re-Testing

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Probability of Detecting an Initial Positive,  
Then Finding a Second When the Lot is Retested

## Initial Positive

1 in 2

1 in 10

1 in 50

1 in 100

## Both Initial & Retest Positive

1 in 4

1 in 100

1 in 2,500

1 in 10,000



# Production & Harvest

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Possibilities: Harvest

- ❖ Do new harvest methods increase or decrease risk?
- ❖ Pathogen reservoirs: workers, adjacent land, animal feces?
- ❖ How effectively are human pathogens transferred to LLG's by worker, food contact surface the environment?
- ❖ What factors can effect human pathogens survival and growth on LLGs during/after harvest operations?
- ❖ If human pathogens are transferred to LLG at harvest what environmental factors and handling practices effect survival and growth?



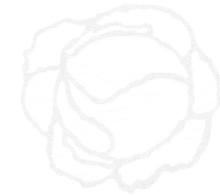
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# Production & Harvest

## Controls: Harvest

- ❖ Decision Tree Based Actions
  - Pre-Harvest Assessment
  - Harvest
- ❖ Environmental Assessment
- ❖ Evidence of Animal Intrusion
- ❖ Harvest Distance From Intrusion
- ❖ Verification
- ❖ Cleaned & Cored Guidelines

Field Cored Lettuce  
“FC Lettuce”



*Best Practices*

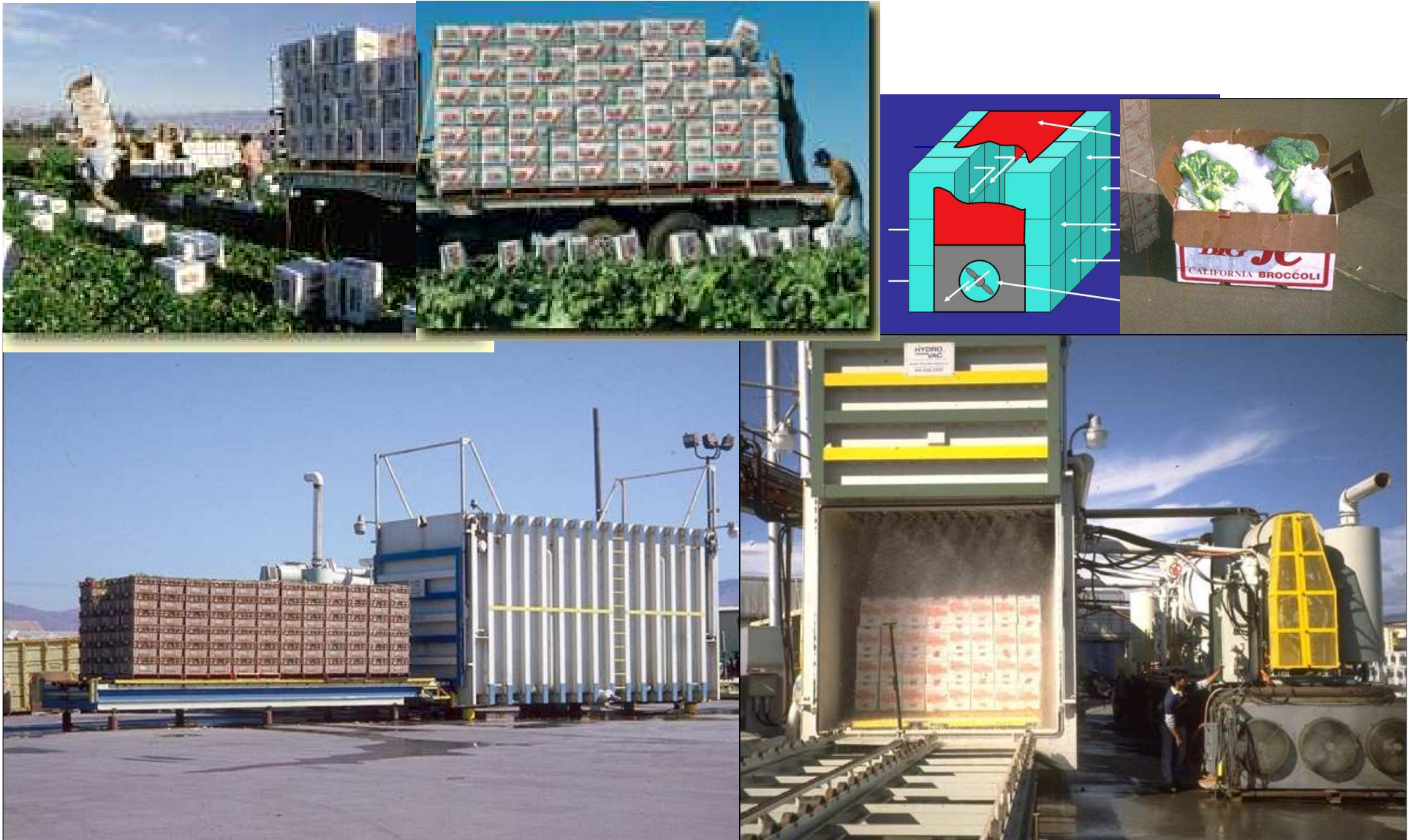
April 23, 2001



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# Postharvest Operations

## Practices: Postharvest Cooling



# Postharvest Operations

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## Possibilities: Postharvest Cooling

- ❖ What are the sources and vectors of pathogens in the postharvest environment?
- ❖ How well can human pathogens persist or grow in this environment?
- ❖ What factors can effect human pathogens survival and growth on LLGs during/after postharvest operations?
- ❖ If human pathogens are transferred to LLG at harvest what environmental factors and handling practices effect survival and growth?
- ❖ Time/Temperature for Safety?



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# Postharvest Operations

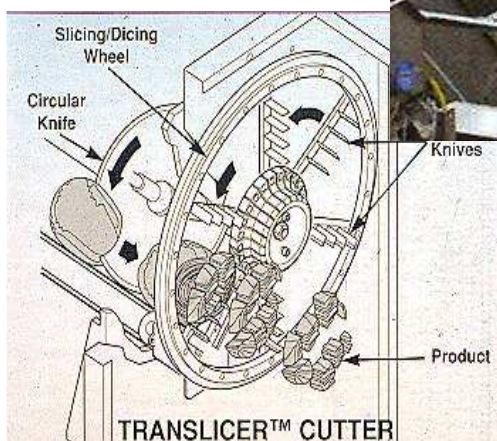
## Controls: Postharvest Cooling

- ❖ GAPs/GMPs/Sanitation/Employee Hygiene Programs
- ❖ Use of High Quality Water.
- ❖ Preventing Cross Contamination.



# Value Added / Fresh-cut Operations

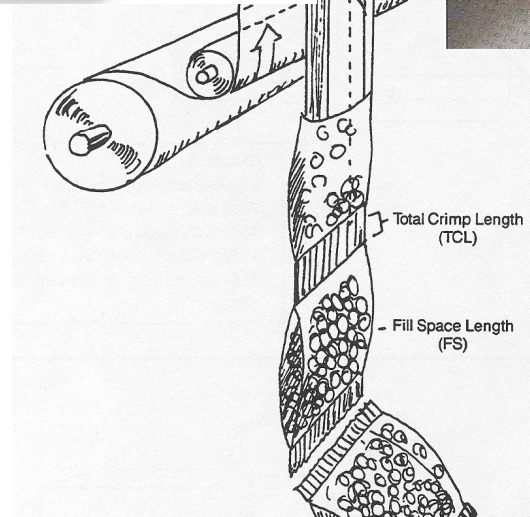
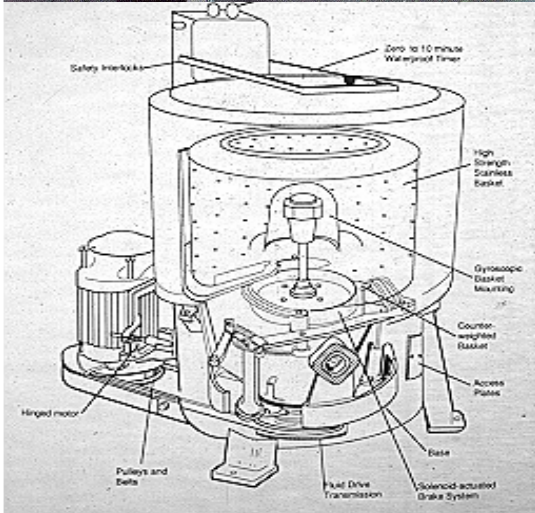
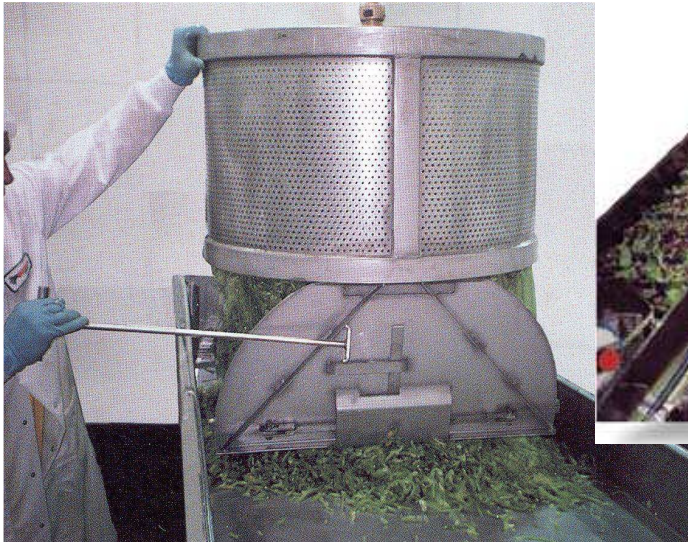
Practices: Value Added / Fresh-cut



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# Value Added / Fresh-cut Operations

Practices: Value Added / Fresh-cut



# Value Added /Fresh-cut Operations

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Possibilities: Value Added / Fresh-cut

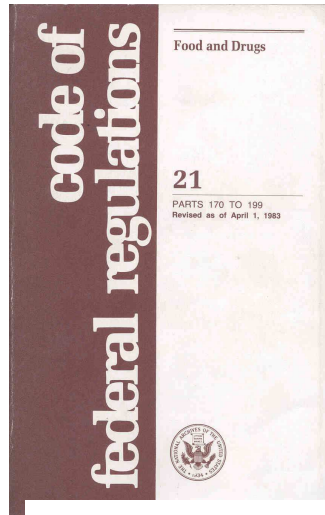
- ❖ What are the sources and vectors of pathogens in the processing environment?
- ❖ How well can human pathogens persist or grow in this environment?
- ❖ What factors can effect human pathogens survival and growth on LLGs during/after processing operations?
- ❖ If human pathogens are transferred to LLG at harvest what environmental factors and handling practices effect survival and growth?
- ❖ Time/Temperature for Safety?



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# Value Added / Fresh-cut Operations

## Controls: Value Added / Fresh-cut



Guidance for Industry

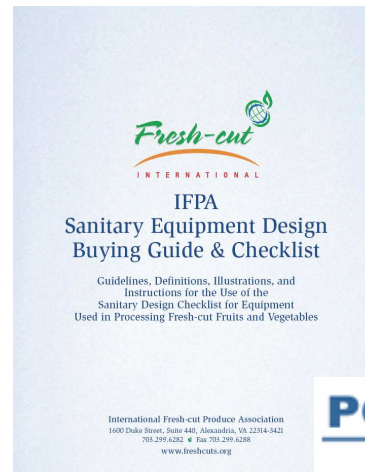
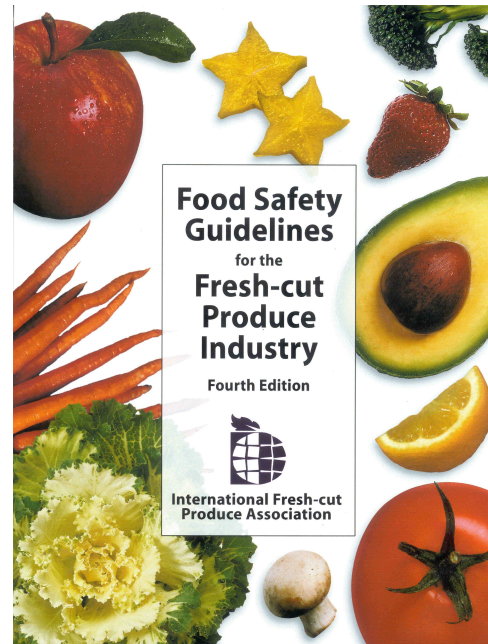
### Guide to Minimize Microbial Food Safety Hazards of Fresh-cut Fruits and Vegetables

Draft Final Guidance  
Contains Non-Binding Recommendations

*Additional copies are available from:*  
Office of Plant and Dairy Foods  
Division of Plant Product Safety (HFS-305)  
5100 Paint Branch Parkway  
College Park, MD 20740  
(Tel) 301-436-1400  
<http://www.cfsan.fda.gov/guidance.html>

For questions regarding this document, contact Amy Green at the Center for Food Safety and Applied Nutrition (CFSAN) at (301) 436-2025.

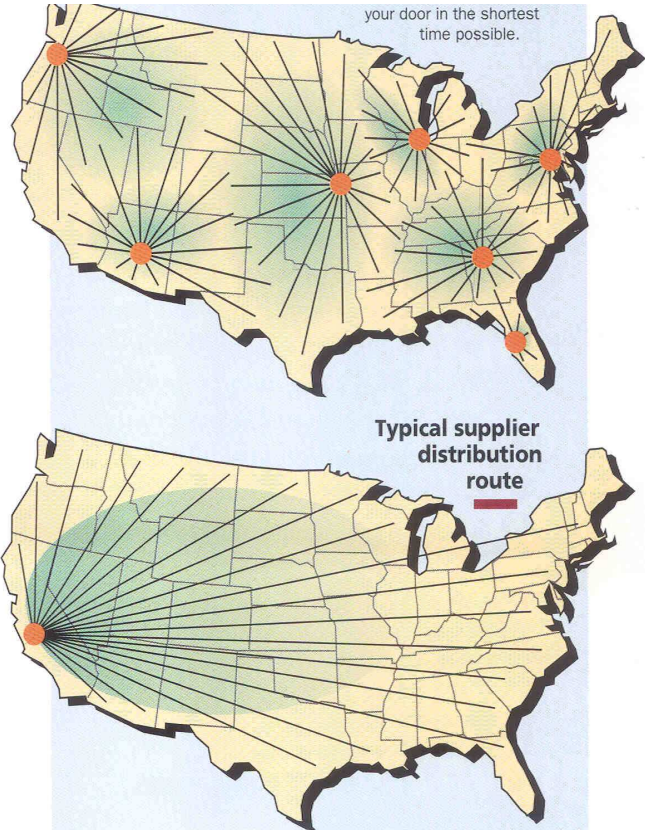
U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Food Safety and Applied Nutrition  
March 2007



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# Distribution

## Practices: Distribution



# Distribution

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Possibilities: Distribution

- ❖ What are the sources and vectors of pathogens in the distribution environment?
- ❖ How well can human pathogens persist or grow in the distribution environment?
- ❖ What factors can effect human pathogens survival and growth on LLGs during distribution operations?
- ❖ If human pathogens were transferred to LLG what environmental factors and handling practices effect survival and growth?
- ❖ Time/Temperature for Safety?



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# Distribution

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Controls: Distribution

- ❖ GMPs/Sanitation/Employee Hygiene Programs
- ❖ Preventing Cross Contamination.

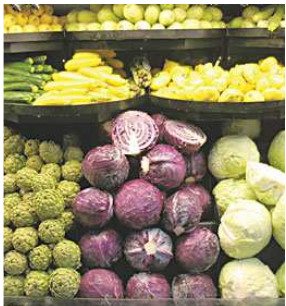


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# End User, Retail, Food Service, Consumers

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Practices: End User



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# End User, Retail, Food Service, Consumers

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Possibilities: End User

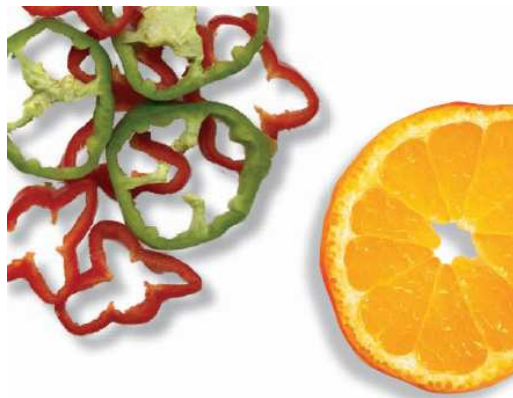
- ❖ Preventing Cross Contamination During Preparation (People, Other Raw Food Products)?
- ❖ Water Misters?
- ❖ Re-crisping Operations – Internalization?
- ❖ Time / Temperature Control?

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# End User, Retail, Food Service, Consumers

## Controls: End User



Safe  Handling  
of Raw Produce and  
Fresh-Squeezed  
Fruit and Vegetable Juices



  
U.S. Food and Drug Administration







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# Produce Food Safety Priority Research Topics

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- ❖ Introduction of human pathogens into the environment where fresh produce is grown, harvested, and undergoes postharvest handling and distribution.
- ❖ Survival and possible growth of human pathogens in the environment during growing, harvest, postharvest handling, processing and distribution of fresh and fresh-cut produce.
- ❖ Mechanism of transference of human pathogens to fresh produce edible plant surfaces during growing, harvest, postharvest handling, processing and distribution operations.
- ❖ Survival and possible growth of human pathogens on produce during growing, harvest, postharvest handling, processing and/or distribution.
- ❖ Effective microbial sampling schemes and intervention strategies.

Edited by  
Gerald M. Sapers  
James R. Gorny  
Ahmed E. Yousef



# MICROBIOLOGY OF Fruits and Vegetables



 Taylor & Francis  
Taylor & Francis Group

## La nueva publicación: "Tecnología Postcosecha de Cultivos Hortofrutícolas"



**Jim Gorny, Ph.D.  
Executive Director**



**POSTHARVEST TECHNOLOGY**  
Research & Information Center

**University of California  
Department of Plant Sciences MS2  
3045 Wickson Hall  
1 Shields Ave  
Davis, CA 95616**

**Tel: 530.754.9270**

**Email: [jrgorny@ucdavis.edu](mailto:jrgorny@ucdavis.edu)**

**<http://postharvest.ucdavis.edu/>**